



# BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

### INTELLECTUAL PROPERTY LAW

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12400 WILSHIRE BLVD., SEVENTH FLOOR LOS ANGELES, CALIFORNIA 90025-1030

TELEPHONE (503) 684.6200 FACSIMILE (503) 684.3245

## FACSIMILE TRANSMITTAL SHEET

Deliver to: Examiner R. Lee		
Recipient: <u>U.S. PATENT AND TRAI</u>	DEMARK OFFICE Art Unit 2613	
Fax Number:	Telephone	No.:
From: Michael Proksch Reg. No.: 43,021		
Date: December 11, 2001	Time: 2:50PM (PST)	
BSTZ Matter No: 42390.P7111	Number of pages including	g cover sheet:
In Re Patent Application of: McVeigh	, et al.	
Application No.: 09/274,157		
Filed: 3/22/99		
Title: Method and Apparatus for Simplif	ying Frame-based Motion Estimation	
	<del></del>	
Enclosed are the following documents:		4 - 4 - 4
X Amendment/Response (_9_pgs)	☐ Declaration & PofA (pgs)	☐ Reply Brief (pgs)
☐ Appeal Brief ( pgs) (in triplicate)	☐ Drawings: # of sheets w/ figs.	☐ Small Entity Statement
☐ Utility Application ( pgs)	☐ Month Petition re Ext. of Time	☐ Resp. to Notice of Missing Parts ( pgs)
☐ 1.53(b) Cont. Application ( pgs)	□ IDS & PTO 1449 (_ pgs)	X Transmittal Letter (1 pgs)
☐ 1.53(b) Divisional Application (pgs)	☐ Issue Fee Transmittal (pgs)	X Fee Transmittal ( 1 pgs) (in duplicate)
☐ 1.53(b) CIP Application (pgs)	☐ Notice of Appeal (_pgs)	☐ Deposit Account Authorization
☐ 1.53(d) CPA Transmittal (pgs)	☐ Petition re ( pgs)	□ Other:
□ PCT Application ( pgs)	☐ Power of Attorney (pgs)	□ Other:
☐ Provisional Application (pgs)	☐ Declaration by Inventor(s) (pgs)	□ Other:
☐ Assignment & Cover Sheet (pgs)	☐ Supplemental Declaration (_ pgs)	□ Other:
X Certificate of Transmission by Fax	☐ Preliminary Amendment ( pgs)	□ Other:
	CERTIFICATE OF TRANSMISSION	
I hereby certify that this	correspondence is being facsimile transmitted to	the Patent and Trademark Office on:
Date of Transmission	12.18.01	
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	C. O.	· · ·

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PTO/SB/21 (12/97)
Approved for use through 8/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Peperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.					
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/2	274,157	
		Filing Date	Mar	March 22, 1999	
		First Named Inventor	Jeffi	rey McVeigh	
		Group Art Unit	2613	3	
		Examiner Name	R. L	ee	
Total Number of Pages in This Submissi	ion 12	Attorney Docket Number	4239	90P7111	
ENCLO	SURES (chec	k ell that apply)			
Fee Transmittal Form	Assignmen	t Papers lication)		After Allowance Communication to Group	
Fee Attached	☐ Drawing(s)			Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Licensing-r	elated Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief	
After Final Affidavits/declaration(s)	Petition Roand Accord	uting Slip (PTO/SB/69) panying Petition		Proprietary Information	
Extension of Time Request	To Convert Provisional	a Application		Status Letter	
Express Abandonment Request	Power of A Change of	ttomey, Revocation Correspondence Address	⊠	Additional Enclosure(s) (please identify below):	
Information Disclosure Statement	☐ Terminal D	isclaimer		- Certificate of Facsimile Transmission	
Certified Copy of Priority Document(s)	Small Entit	y Statement			
Response to Missing Parts/ Incomplete Application	Request for Refund				
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	_]			
SIGNATURE	OF APPLICAN	IT, ATTORNEY, OR A	GENT		
Firm Michael A. Pro	ksch, Reg. No.	43,021	•		
• • • • • • • • • • • • • • • • • • •	or				
Signature TATAL Profision					
Date December 11, 2001					
CERTIFICATE OF MAILING/TRANSMISSION					
I hereby certify that this correspondence is being transmitted via facsimile under 37 CFR §1.8 on:  December 11, 2001					
Typed or printed name Derek S. Watson					
Signature 2	5.400	2	Date	December 11, 2001	

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#### Complete If Known **FEE TRANSMITTAL** Application No. 09/274,157 Filing Date March 22, 1999 for FY 2000 First Named Inventor Jeffrey McVeigh R. Lee Examiner Name Patent fees are subject to annual revision. Group/Art Unit 2613 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docker No. 42390P7111

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEE
indicated fees and credit any overpayments to:	Large Entity Small Entity
Defosit Account 02-2666	Fee Fee Fee Fee Fee Fee Fee Paid
Number	Code (5) Code (5)
Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP	105 130 205 65 Surcharge - late filing fee or oath
Name	127 50 227 25 Surcharge - late provisional filing fee or
Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	cover sheet. 139 130 139 130 Non-English specification
	147 2,520 147 2,520 For filing a request for expanse reexamination
See 37 CFR 1.27.	112 920* 112 920*Requesting publication of SIR prior to
2.  Payment Enclosed:	Examiner action
Money - Other	113 1,840* 113 1,840*Requesting publication of SIR after
☐ Check ☐ Credit card ☐ Money ☐ Other	Examiner action 115 110 215 55 Extension for reply within first month
FEE CALCULATION	116 400 216 200 Extension for reply within second month
1. BASIC FILING FEE	117 920 217 460 Extension for reply within third month
Large Entity Small Entity	118 1,440 218 720 Extension for reply within fourth month
Fee Fee Fee Fee Description Fee Paid	128 1,960 228 980 Extension for reply within fifth month
Code (\$) Code (\$)	119 320 219 160 Notice of Appeal
101 740 201 370 Utility filing fee	120 320 220 160 Filling a brief in support of an appeal
106 330 206 165 Design filing fee	121 280 221 140 Request for oral hearing
107 510 207 255 Plant filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding
108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable
	141 1,280 241 640 Petition to revive - unintentional
SUBTOTAL (1) (\$)	142 1,280 242 640 Utility issue fee (or relsaue)
2. EXTRA CLAIM FEES Extra Feefrom	143 460 243 230 Design issue fee
Claims below	144 620 244 310 Plant issue fee
Total Claims	122 130 122 130 Petitions to the Commissioner
Claims X =	123 50 123 50 Processing fee under 37 CFR 1.17(q)
Multiple Dependent =	126 180 126 180 Submission of Information Disclosure Stmt
Large Entity Small Entity	581 40 581 40 Recording each patent assignment per
Fee Fee Fee Fee Description	property (times number of properties)  146 740 246 370 Filing a submission after final rejection
Code (\$) Code (\$)	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	149 740 249 370 For each additional invention to be
104 280 204 140 Multiple Dependent claim, if not paid	examined (37 CFR § 1.129(b))
109 84 209 42 **Reissue independent claims	1/9 740 2/9 3/0 Request for Continued Examination (RCE)
over original patent	169 900 169 900 Request for expedited examination of a design application
110 18 210 9 **Reissue claims in excess of 20	Other fee (specify)
and over original patent	Other fee (specify)
SUBTOTAL (2) (\$)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)
to number previously paid, if greater, For Reissues, see below	

**SORWILLED BA** Complete (if applicable) Registration No. (503) 684-6200 Michael A. Proksch 43,021 Telephone Name (Print/Type) (Attorney/Agent) 12/11/01 Signature

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0551-0032

U.S. Petent and Tradomark Office: U.S. DEPARTMENT OF COMMERCE

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for FY 2000		Complete If Known			
		Application No.	09/2/4,157		
		Filing Date	March 22, 1999		
		First Named Inventor	Jeffrey McVeigh		
		Examiner Name	R. Lee		
TOTAL AMOUNT OF PAYMENT	(\$)	Group/Art Unit	2613		
		Attorney Docket No.	42390P7111		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEE
Deposit	Large Entity Small Entity
Account 02-2666	Fee Fee Fee Fee Fee Description Fee Paid
Deposit	Code (\$) Code (\$)
Account Blakely, Sokoloff, Taylor & Zafman LLP	105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or
Charge Arry Additional Fee(a) Required	cover sheet.
LUnder 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for at parts reexamination
Applicant claims small entity status.  See 37 CFR 1.27.	112 920* 112 920*Requesting publication of SIR prior to
2. ☐ Payment Enclosed:	Examiner action
Money ou	113 1,840* 113 1,840*Requesting publication of SIR after Examiner action
Check Credit card Order Other	115 110 215 55 Extension for reply within first month
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Large Entity Small Entity	118 1,440 218 720 Extension for reply within fourth month
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Independent - ++= X ==	123 50 123 50 Processing fee under 37 CFR 1.17(q)
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Fee Fee Fee Fee Description	property (times number of properties)
Code (5) Code (5)	146 740 246 370 Filling a submission after final rejection (37 CFR § 1.129(a))
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	149 740 249 370 For each additional invention to be
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109 84 209 42 **Reissue independent claims	1/9 740 279 370 Request for Continued Examination (RCE)
over original patent	169 900 169 900 Request for expedited examination of a design application
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fee (specify)
SUBTOTAL (2) (\$)	Other fee (specify)
*or number previously pald, if greater, For Relssues, see below	*Reduced by Basic Fling Fee Paid SUBTOTAL (3) (\$)
SUBMITTED BY	Complete (if applicable)

SUBMITTED BY				Comp	Complete (if applicable)	
Name (Print/Type)	Michael A. Proksch	Registration No. (Attorney/Agent)	43,021	Telephone	(503) 684-6200	
Signature	With troked			Date	12/11/01	

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Ø 005

DEIDITION OF ISO

Docket No. 42390.P7111

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re I	Patent Application of:		TL
	McVeigh, et al.	Group Art Unit: 2613	
		Examiner: R. Lee	
Appli	cation No.: 09/274,157		
Filed:	March 22, 1999	CERTIFICATE OF FACSIMILE TRANSMISSION	-
For:	METHOD AND APPARATUS FOR SIMPLIFYING FRAME-BASED	I hereby cartify that this correspondence is being facsimila transmitt to the United States Petent and Trademerk Office at:	ed
	MOTION ESTIMATION	703 872 9814	
		Facsimile Number	_
	ant Commissioner for Patents	Dul 5. 600 12.11.01	
Wash	ington, D.C. 20231	Signatura Data	

# AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action, dated <u>September 11, 2001</u>, Applicant respectfully requests the Examiner to enter the following amendments and consider the following remarks:

# IN THE SPECIFICATION

On page 11, line 20, please replace "before", with --before (700)--.

On page 11, line 21, please replace "after", with --after (702)--.

On page 12, line 3, please replace "block", with --block (706)--.

On page 15, line 7, please replace "block)", with --block (704))--.

On page 23, line 2, please replace "drivers", with --drivers (1407)--.

On page 25, line 19, please replace "DCT", with --DCT (1510)--.

On page 25, line 20, please replace "color "with --color (1512)--.

On page 25, line 20, please replace "storage", with --storage (120)--.